

# Determinacy, Large Cardinals, and Inner Models

Sandra Müller

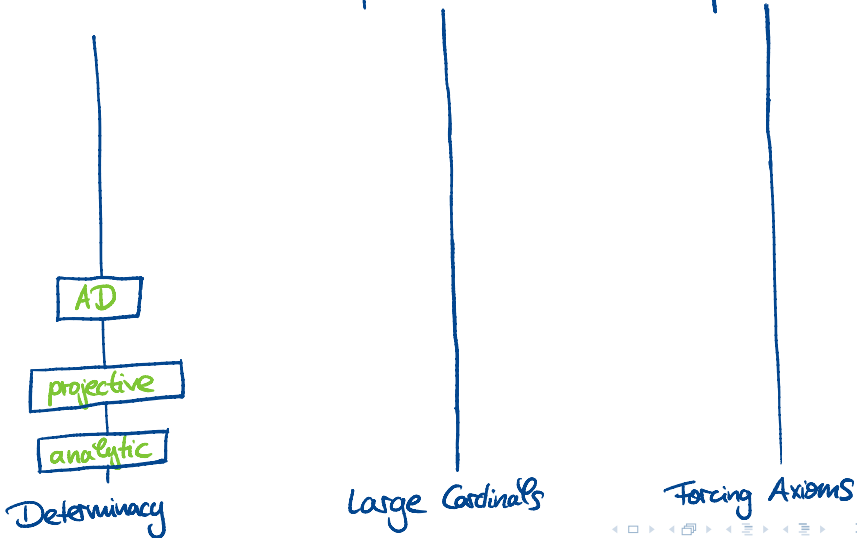
January 2024

Winterschool 2024, Hejnice

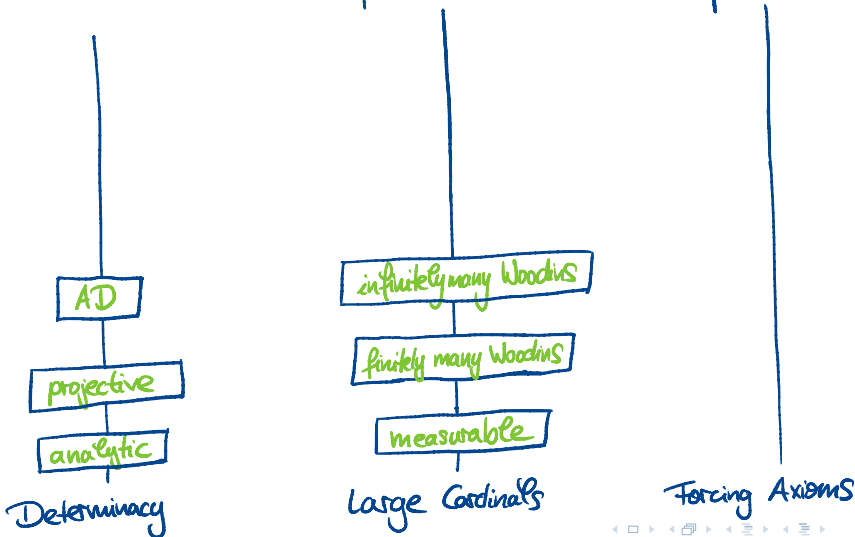


Research supported by Austrian Science Fund (FWF) Elise Richter grant number V844, International Project I6087, and START Prize Y1498.

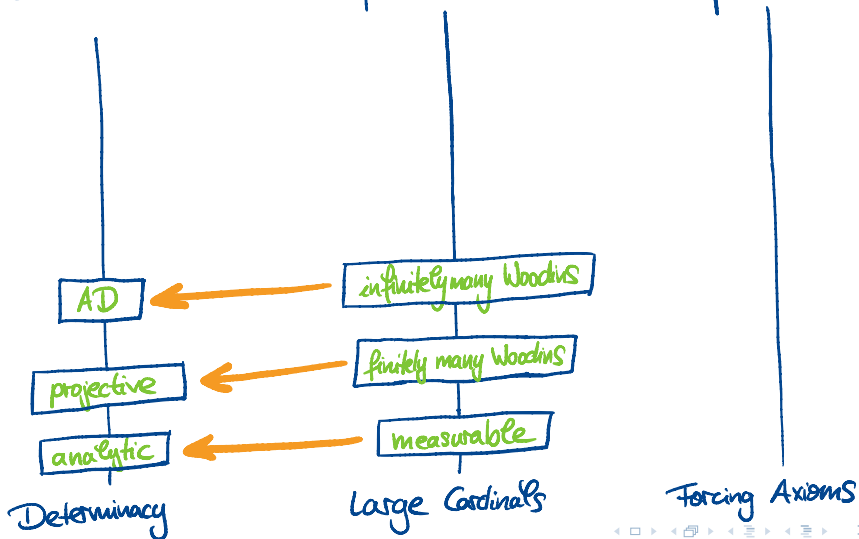
How far are these axioms from ZFC? "Steel's Program"  
 Consider hierarchies of these axioms and compare their strength.



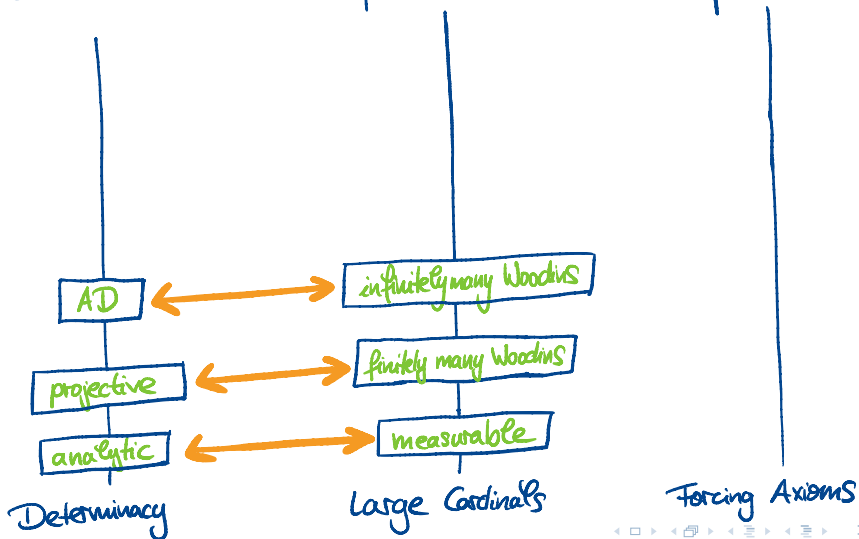
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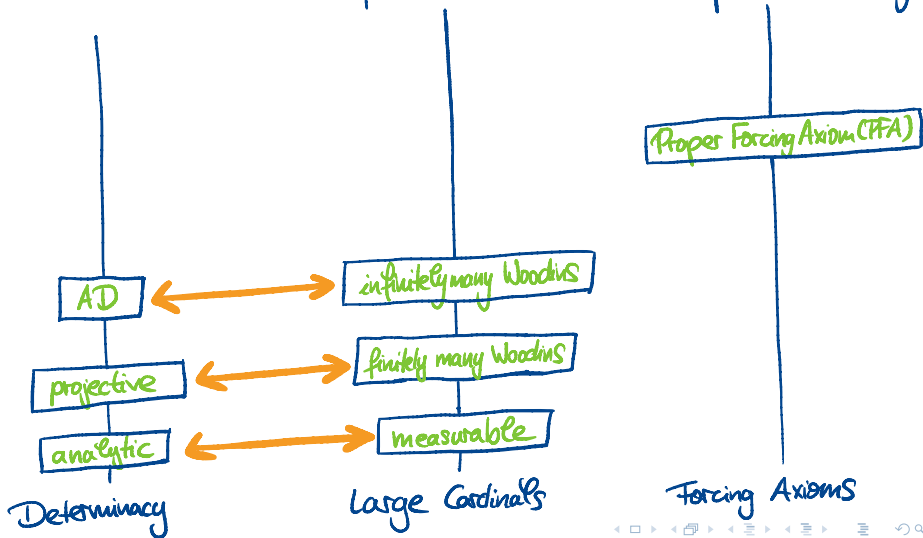
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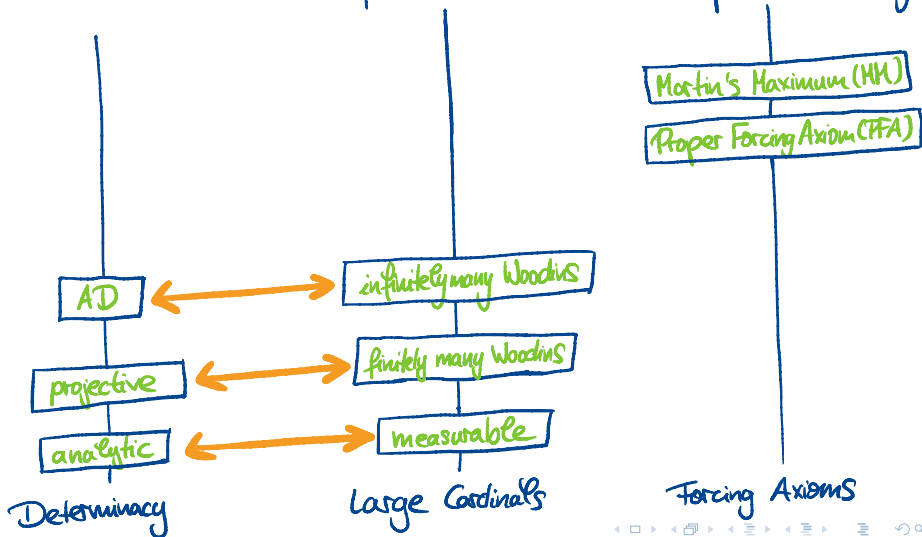
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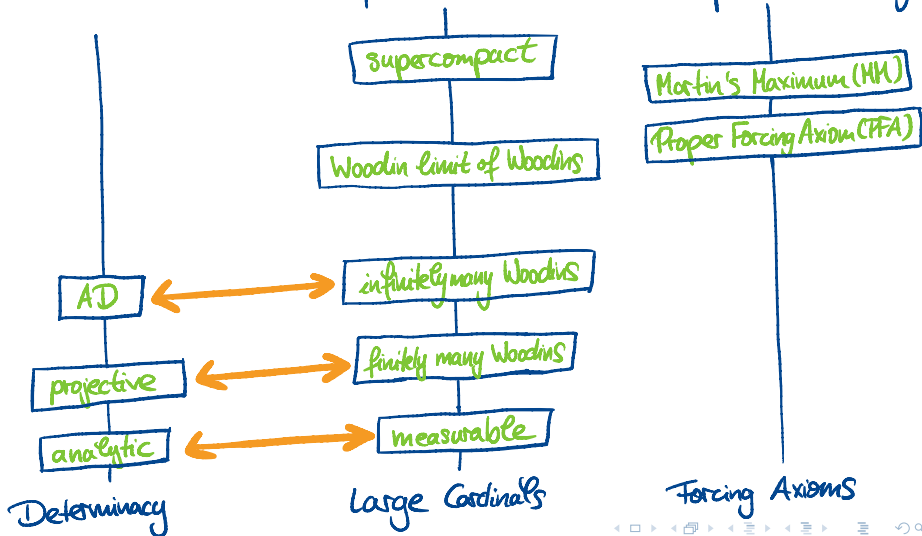
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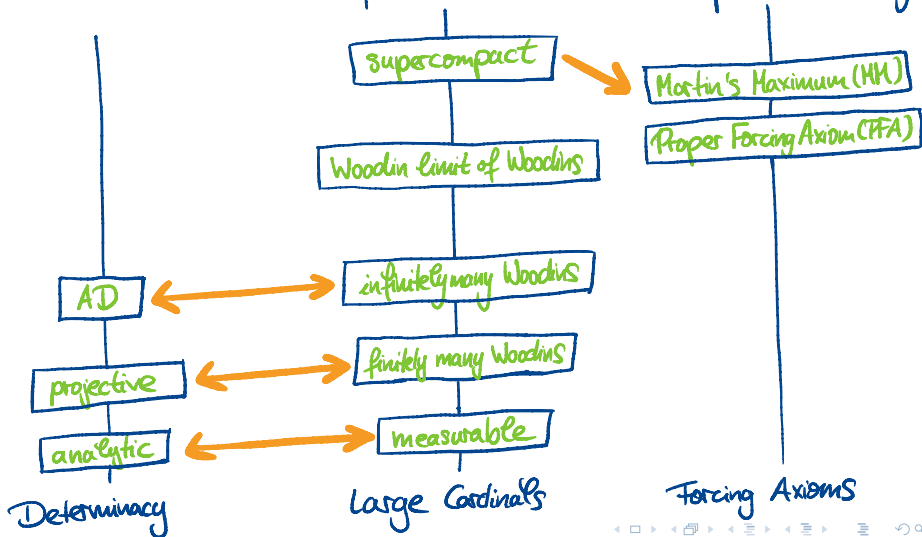




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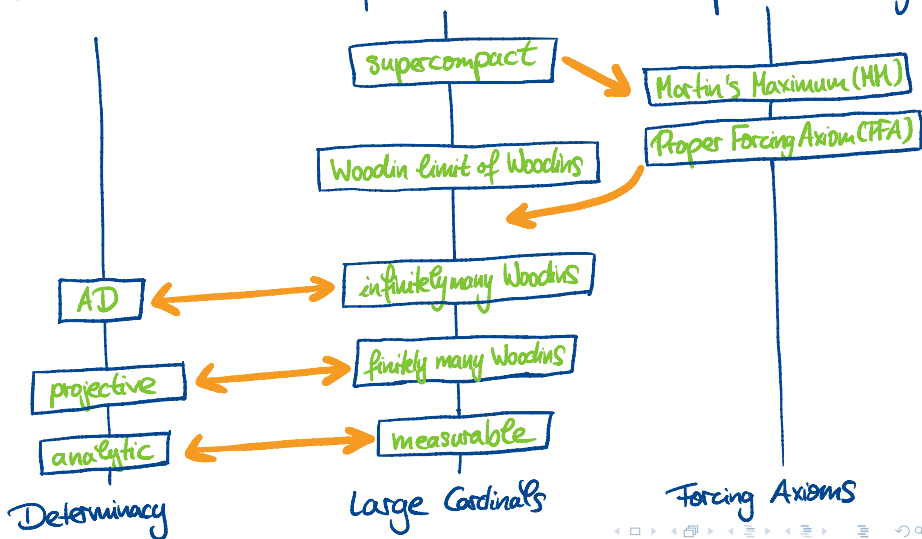
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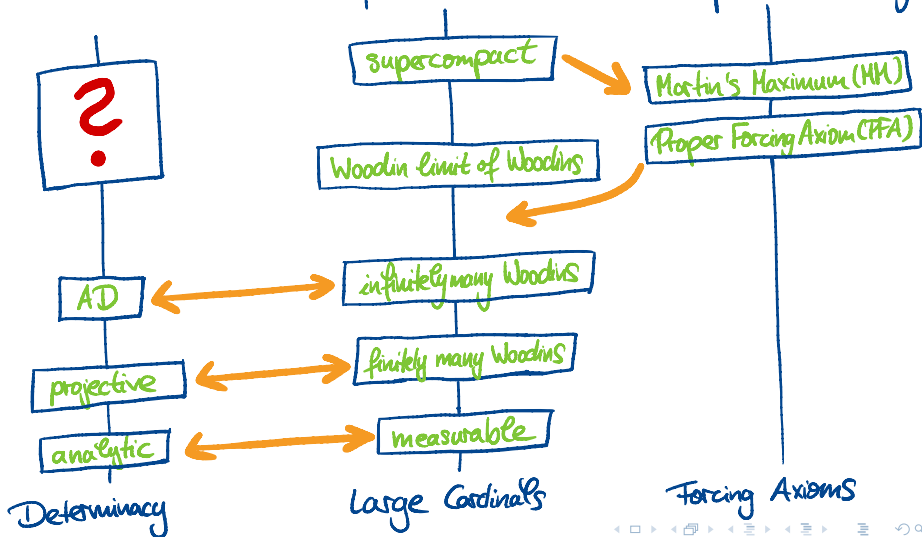
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## Two scenarios

What axiom(s) could fill the gap  
in the determinacy hierarchy?

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↓  
Long games

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Long games

Strong models

# Long games

## Two scenarios

Standard games:

I	$n_0$	$n_2$	...
II	$n_1$	$n_3$	...

Long games



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Long games

I wins iff

$$(n_0, n_1, \dots) \in A.$$

O/w II wins.

## Two scenarios

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I	$n_0 \in \mathbb{N}$	$n_2$	...
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Strength of determinacy  
depends on the complexity  
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→ Continue playing more rounds  
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# Long games

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Step 1: Fix a finite number  $n$   
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Say  $n=2$  and the payoff set  $A$  is  $\Pi_1^1$ .

I	$n_0$	$n_2$	...	$n_\omega$	...
II	$n_1$	$n_3$	...	$n_{\omega+1}$	...

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Step 1: Fix a finite number  $n$   
and play games of length  $w \cdot n$ .

Say  $n=2$  and the payoff set  $A$  is  $\Pi_1^1$ .

I	$h_0$	$h_2$	...	$h_w$	...
II	$h_1$	$h_3$	...	$h_{w+1}$	...

Determinacy of this game implies determinacy  
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Determinacy of this game implies determinacy  
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Use the same winning strategy for the first  $w$  moves, to get a witness for  $(n_0, n_2, \dots) \in pA$ , play the second round of the longer game acc. to the strategy

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Determinacy of this game implies determinacy  
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In fact, determinacy  
of these games is  
equivalent to projective  
determinacy.

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Step 1: Fix a finite number  $n$   
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Step 2: Fix a countable ordinal  $\alpha$   
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I	$n_0$	...	$n_\omega$	...	...
II	$n_1$	...	$n_{\omega+1}$	...	...

resulting in a sequence  
 $(n_0, n_1, \dots) \in \omega^{\omega \cdot \alpha}$



# Games of countable length $\alpha > \omega$ and games on reals

Games	Det $\Rightarrow$ Mice	Mice $\Rightarrow$ Det
Analytic, length $\omega$ on $\mathbb{N}$	Martin, 1970	Harrington, 1978

Go

$\rightarrow$  measurable cardinals

# Games of countable length $\alpha > \omega$ and games on reals

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Projective, length $\omega$ on $\mathbb{N}$ , level by level	Woodin, appeared in M-Schindler-Woodin, JML 2020	Neeman, 2002, building on Martin-Steel, 1989

$\rightarrow$  finitely many Woodin cardinals with a measurable on top

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$\sigma$ -projective, length $\omega$ on $\mathbb{N}$	Aguilera	Aguilera-M-Schlicht, APAL 2021, Aguilera

$\rightarrow$  "local" Woodin cardinals

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eg  $\rightarrow \omega+n$  many Woodin cardinals  
(for all  $n < \omega$ )

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Analytic, length $\omega^\alpha$ on $\mathbb{N}$	Trang, 2013, building on Woodin	Neeman, 2004

$\rightarrow$   $\omega^\alpha$  many Woodin cardinals  
(for  $\alpha < \omega_1$  limit)

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$\rightarrow \omega^\alpha + n$  many Woodin cardinals

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Projective, length $\omega^\alpha$ on $\mathbb{N}$	M, 2020	Neeman, 2004
Projective, length $\omega$ on $\mathbb{R}$	Aguilera-M, NDJFL 2020	easy from Martin-Steel, 1989

### Long games

Step 1: Fix a finite number  $n$   
and play games of length  $\omega \cdot n$ .

Step 2: Fix a countable ordinal  $\alpha$   
and play games of length  $\omega \cdot \alpha$ .

Step 3: Play games that end at a  
countable stage but which one will  
only be decided during the game.



# Continuously coded length games

## Continuously coded length games

For  $A \subseteq (\omega^\omega)^{<\omega}$  and  $\nu: \omega^\omega \rightarrow \omega$  partial function define  $G_{\text{cont}}(\nu, A)$ :

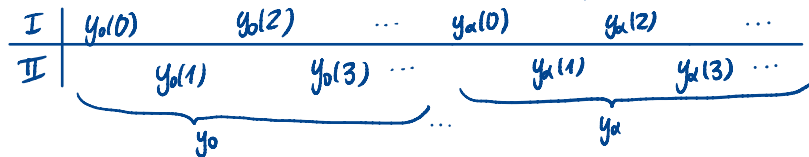
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For  $A \subseteq (\omega^\omega)^{<\omega}$  and  $v: \omega^\omega \rightarrow \omega$  partial function define  $G_{\text{cont}}(v, A)$ :

I	$y_0(0)$	$y_0(2)$	...	$y_\alpha(0)$	$y_\alpha(2)$	...
II	$y_0(1)$ $y_0(3)$ ...		...	$y_\alpha(1)$ $y_\alpha(3)$ ...		...
	$\underbrace{\hspace{15em}}_{y_0}$			$\underbrace{\hspace{15em}}_{y_\alpha}$		

Case 1:  $v(y_\alpha)$  is undefined.  
 The game ends and  
 I wins iff  $(y_\xi \mid \xi \leq \alpha) \in A$ .

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Case 2:  $\nu(y_\alpha)$  is defined.  
 Let  $n_\alpha = \nu(y_\alpha)$ . The game ends if  
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 Theorem (Neeman, 2004)

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Suppose there is an iterable proper class model  $M$ , with a Woodin cardinal  $\delta$  and a cardinal  $\kappa < \delta$  that is  $(\delta + 1)$ -strong in  $M$ , such that  $V_{\delta+1}^M$  is countable in  $V$ . Then the game  $G_{\text{cont}}(\nu, A)$  is determined for every  $\nu$  in the class  $\Sigma_2^0$  and every  $A$  that is  $<\omega^2 - \Pi_1^1$  in the codes.

Is this optimal?



# How could one prove such a result?

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As an example, we sketch the proof of the following result.

Theorem (Aguilera-M, 2020)

*Suppose every game of length  $\omega^2 + \omega$  with a  $\mathbf{\Pi}_1^1$  payoff set is determined. Then there is a model with  $\omega + 1$  Woodin cardinals.*

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Part I

Part II

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Part I

Play a game to get some  
 $A \in \mathcal{P}_{\omega_1}(\mathbb{R})$  s.t.  $M_1^\#(A)$  exists,  
 $M_1^\#(A) \cap \mathbb{R} = A$ , and  
 $M_1^\#(A) \models \text{ZF} + \text{DC} + \text{AD}$   
 $+ \text{MC}$ .

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I	$\mathbb{R}$ $x_0$
II	

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The game:

I	$\overset{\text{ER}}{\times_0}$	$\overset{\text{ER}}{a}$
II		$b \in \mathbb{R}$

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 $+ \text{MC}$ .

The game:

I	$x_0 \in \mathbb{R}$	$a \in \mathbb{R}$	$x_1 \in \mathbb{R}$	$x_3$	...
II		$b \in \mathbb{R}$	$x_2$	$x_4$	...



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II		$b \in \mathbb{R}$		$x_2$	$x_4 \dots$

# How could one prove such a result?

As an example, we sketch the proof of the following result.

Theorem (Aguilera-M, 2020)

Suppose every game of length  $\omega^2 + \omega$  with a  $\Pi_1^1$  payoff set is determined.  
Then there is a model with  $\omega + 1$  Woodin cardinals.

**Part I**

Play a game to get some

$A \in \mathcal{P}_{\omega_1}(\mathbb{R})$  s.t.  $M_1^\#(A)$  exists,

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- there is a non-determined set in  $\mathcal{N}$  definable from  $x_0$  and if  $Z$  is the least such, then  $a \in Z$ .

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**Part II**

Use the model  $M_1^\#(A)$  from Part I and translate it into a model with  $\omega + 1$  Woodin cardinals.

## A new game

“Future theorem” (Gappo-M, 2024)

The following are equivalent (over ZFC):

- There is a canonical inner model with a top measure and a limit of Woodin cardinals  $\lambda$  such that the order type of Woodin cardinals below  $\lambda$  is  $\lambda$ .
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Idea: The players determine the length of the game during the play.

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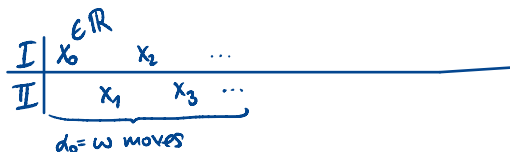
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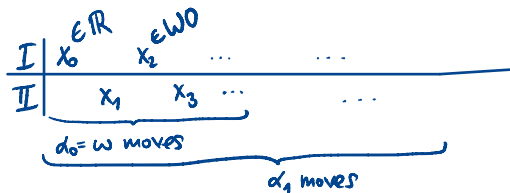
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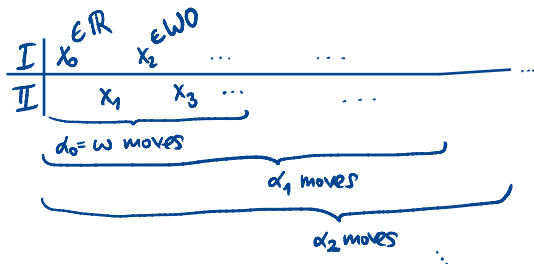
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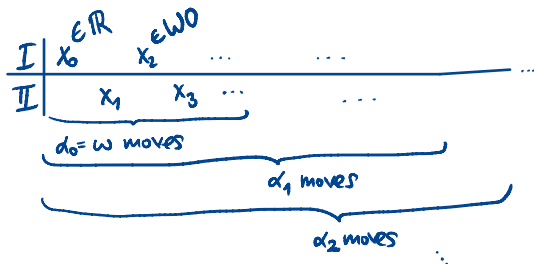


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Let  $\alpha_0 = \omega$ .  
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 ( $\alpha_1 > \alpha_0$ , o/w stop).

Stop the game at  $\bigcup_{i \in \omega} \alpha_i$ .  
 I wins iff  $(x_{\beta} \mid \beta < \bigcup_{i \in \omega} \alpha_i) \in C$ .

## Two scenarios

### Long games

Step 1: Fix a finite number  $n$   
and play games of length  $\omega \cdot n$ .

Step 2: Fix a countable ordinal  $\alpha$   
and play games of length  $\omega \cdot \alpha$ .

Step 3: Play games that end at a  
countable stage but which one will  
only be decided during the game.

Step 4: Play uncountable games.

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Consider games that are constructibly uncountable in the play.

Let  $A \subseteq (\omega^\omega)^{<\omega_1}$ .

I	$y_0(0)$	$y_0(2)$	...	$y_\alpha(0)$	$y_\alpha(2)$	...
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The diagram shows a game tree for player I. The root node is labeled  $y_0$ . A horizontal line separates the nodes into two levels: I and II. Player I's moves are  $y_0(0), y_0(2), \dots$  and  $y_\alpha(0), y_\alpha(2), \dots$ . Player II's moves are  $y_0(1), y_0(3), \dots$  and  $y_\alpha(1), y_\alpha(3), \dots$ . Brackets above the nodes indicate that the game is partitioned into two parts, one for  $y_0$  and one for  $y_\alpha$ .

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Theorem (Neeman, 2004)

Suppose there is an iterable proper class model with a Woodin cardinal that is a limit of Woodin cardinals and countable in  $V$ . Then all games ending at  $\omega_1$  in  $L$  of the play with payoff sets that are  $\mathcal{D}(<\omega^2 - \Pi_1^1)$  in the codes are determined.

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$$\beta = \omega_1^{L[y_\alpha \mid \alpha < \beta]}$$

# Uncountable games

## Theorem (Woodin)

*The following theories are equiconsistent:*

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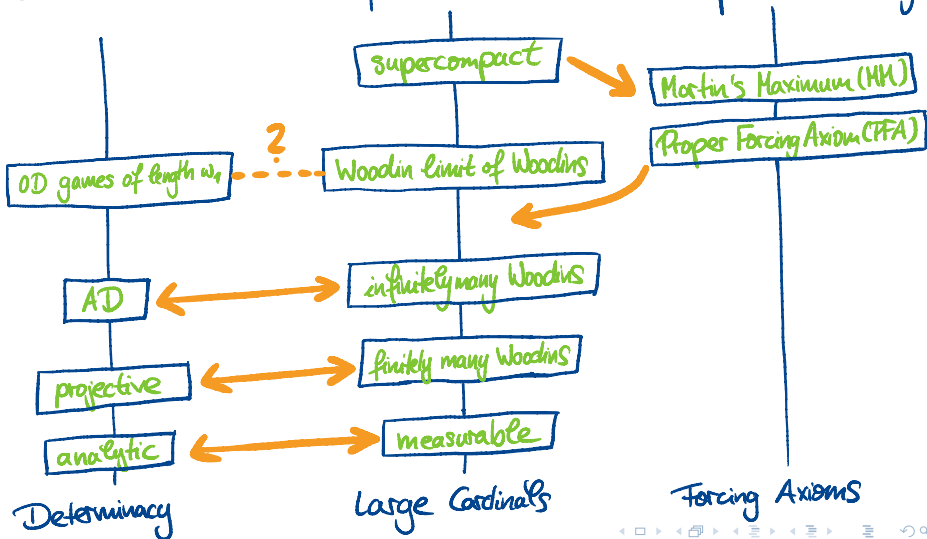
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Is Neeman's result optimal,  
i.e., are these theories equiconsistent  
with a Woodin limit of Woodins?

How far are these axioms from ZFC?

"Steel's Program"

Consider hierarchies of these axioms and compare their strength.



## Two scenarios

What axiom(s) could fill the gap  
in the determinacy hierarchy?

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